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# ANNUAL REPORT

Foreign Business Corporation  
SDCL 59-11-24, 24.1Secretary of State  
500 E. Capitol Ave  
Pierre, SD 57501-5070  
(605) 773-4845**2019**  
FILING YEARPlease Type or Print Clearly in Ink  
Please submit one Original  
Make payable to the SECRETARY OF STATE

Filing Fee: \$50

Total Fee: \$50

1. Business ID and Name:

**FB026059**  
BUSINESS ID**PROFESSIONAL PENSIONS, INC.**  
BUSINESS NAME2. The jurisdiction under whose law it is formed CONNECTICUT

3. The address of the principal executive office (business address):

Actual Street Address

**10 RESEARCH PARKWAY  
WALLINGFORD, CT 06492-1957**

Mailing Address

**C/O NFP, 500 W. MADISON STREET, STE 2710  
CHICAGO, IL 60661-4564**

4. The South Dakota Registered Agent's Name:

South Dakota law permits the registered agent to be either (a) a noncommercial registered agent, (b) a commercial registered agent, or (c) an office holder.

(b) The South Dakota Commercial Registered Agent's name &amp; CRA#

CRA: **C T CORPORATION SYSTEM (0000002)**

Actual Street Address in this State

**319 S COTEAU ST  
PIERRE, SD 57501-3187**

Mailing Address in this State

5. The names and business addresses of its principal officers.

| Title          | Name              | Address   |
|----------------|-------------------|---|
| President      | LUIS M. NUNES     | 10 RESEARCH PARKWAY, WALLINGFORD, CT, 06492         |
| Vice President | LORI M. LIESER    | 500 W. MADISON STREET, STE 2400, CHICAGO, IL, 60661 |
| Treasurer      | MARIA SCARPA      | 10 RESEARCH PARKWAY, WALLINGFORD, CT, 06492         |
| Secretary      | RICHARD GALLAGHER | 10 RESEARCH PARKWAY, WALLINGFORD, CT, 06492         |

6. The names and business addresses of its directors (governors).

| Name            | Address  |
|-----------------|--|
| EDWARD O'MALLEY | 1250 CAPITAL OF TEXAS HWY S, BLDG 2, AUSTIN, TX, 78746 |
| BRETT SCHNEIDER | 340 MADISON AVENUE, 20TH FLOOR, NEW YORK, NY, 10173    |
| VERONICA MOO    | 340 MADISON AVENUE, 20TH FLOOR, NEW YORK, NY, 10173    |

7. Beneficial Owners (optional): A beneficial owner is a person who has or in some manner controls an equity security. Please consult an attorney for legal advice if you have any questions concerning this entry. Any question under this heading is considered a request for legal advice and the secretary of state's office is, by statute, not permitted, to provide legal advice.



No person may execute this report knowing it is false in any material respect. Any violation may be subject to a civil and/or criminal penalty (SDCL 47-1A-129; 22-39-36).

02/15/2019

Dated

Email (Optional)

*Lori M. Lieser*

Signature of an Authorized Person

Lori M. Lieser

Printed Name

B0090-5682 02/15/2019 11:49AM Rec'd by SD SOS