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ANNUAL REPORT

Foreign Business Corporation
SDCL 59-11-24, 24.1

Secretary of State
500 E. Capitol Ave
Pierre, SD 57501-5070
(605) 773-4845

2020
FILING YEAR

Please Type or Print Clearly in Ink
Please submit one Original
Make payable to the SECRETARY OF STATE

| |
|------------------|
| Filing Fee: \$50 |
| Total Fee: \$50 |

1. Business ID and Name:

FB026059
BUSINESS ID

PROFESSIONAL PENSIONS, INC.
BUSINESS NAME

2. The jurisdiction under whose law it is formed CONNECTICUT

3. The address of the principal executive office (business address):

Actual Street Address

**10 RESEARCH PARKWAY
WALLINGFORD, CT 06492-1957**

Mailing Address

**C/O NFP, 500 W. MADISON STREET, STE 2710
CHICAGO, IL 60661-4564**

4. The South Dakota Registered Agent's Name:

South Dakota law permits the registered agent to be either (a) a noncommercial registered agent, (b) a commercial registered agent, or (c) an office holder.

(b) The South Dakota Commercial Registered Agent's name & CRA#

CRA: **C T CORPORATION SYSTEM (0000002)**

Actual Street Address in this State

**319 S COTEAU ST
PIERRE, SD 57501-3187**

Mailing Address in this State

5. The names and business addresses of its principal officers.

| Title | Name | Address |
|----------------|-------------------|---|
| President | LUIS M. NUNES | 10 RESEARCH PARKWAY, WALLINGFORD, CT, 06492 |
| Vice President | LORI M. LIESER | 500 W. MADISON STREET, STE 2400, CHICAGO, IL, 60661 |
| Treasurer | MARIA SCARPA | 10 RESEARCH PARKWAY, WALLINGFORD, CT, 06492 |
| Secretary | RICHARD GALLAGHER | 10 RESEARCH PARKWAY, WALLINGFORD, CT, 06492 |

6. The names and business addresses of its directors (governors).

| Name | Address |
|-----------------|--|
| EDWARD O'MALLEY | 1250 CAPITAL OF TEXAS HWY S, BLDG 2, AUSTIN, TX, 78746 |
| BRETT SCHNEIDER | 340 MADISON AVENUE, 20TH FLOOR, NEW YORK, NY, 10173 |
| VERONICA MOO | 340 MADISON AVENUE, 20TH FLOOR, NEW YORK, NY, 10173 |

7. Beneficial Owners (optional): A beneficial owner is a person who has or in some manner controls an equity security. Please consult an attorney for legal advice if you have any questions concerning this entry. Any question under this heading is considered a request for legal advice and the secretary of state's office is, by statute, not permitted, to provide legal advice.

B0129-6749 02/13/2020 11:29AM Rec'd by SD SOS



No person may execute this report knowing it is false in any material respect. Any violation may be subject to a civil and/or criminal penalty (SDCL 47-1A-129; 22-39-36).

02/13/2020

Dated

Email (Optional)

Lori M. Lieser

Signature of an Authorized Person

Lori M. Lieser

Printed Name

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